

FORM PTO-1449

Atty. Docket No.:
1001.1471102Serial No.:
09/945,225LIST OF PATENTS AND PUBLICATIONS FOR
APPLICANT'S INFORMATION
DISCLOSURE STATEMENT

Applicant: Ting Tina Ye et al.

Filing Date

Group Art:

August 31, 2001

3739

U.S. PATENT DOCUMENTS

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
MD	AA 2,561,569	07/24/51	Flynn	18	13	
	AB 2,940,126	06/14/60	Sheridan	18	55	
	AC 3,015,355	01/02/62	Humphrey	153	78	
	AD 3,193,878	07/13/65	Corbett	18	14	
	AE 3,404,203	10/01/68	Donald	264	108	
	AF 3,605,750	09/20/71	Sheridan et al.	128	348	
	AG 3,618,609	11/09/71	Glick	128	296	
	AH 3,712,782	01/23/73	Burlis	425	380	
	AI 3,724,985	04/03/73	Burlis et al.	425	132	
	AJ 3,743,457	07/03/73	Cini	425	113	
	AK 3,752,617	08/14/73	Burlis et al.	425	131	
	AL 3,824,479	07/16/74	Alger	328	69	
	AM 3,944,641	03/16/76	Lemelson	264	70	
	AN 4,005,166	01/25/77	Quick	264	154	
	AO 4,063,561	12/20/77	McKenna	128	351	
	AP 4,138,457	02/06/79	Rudd et al.	264	500	
	AQ 4,209,476	06/24/80	Harris	264	40.4	
	AR 4,272,466	06/09/81	Harris	264	40.6	
	AS 4,276,250	06/30/81	Satchell et al.	264	167	
	AT 4,277,432	07/07/81	Woinowski	264	173	
	AU 4,279,252	07/21/81	Martin	128	349R	
	AV 4,330,497	05/18/82	Agdanowski	264	150	
	AW 4,385,635	05/31/83	Ruiz	128	658	
	AX 4,425,919	01/17/84	Alston, Jr. et al.	128	658	
	AY 4,430,083	02/07/84	Ganz et al.	604	283	
✓	AZ 4,430,698	02/07/84	Harris	364	162	

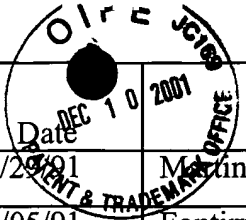
RECEIVED
DEC 11 2001
10 3700 MAIL ROOM

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
MP	BA 4,444,186	04/24/84	Wolvek et al.	128	325	
	BB 4,464,176	08/07/84	Wijayarathna	604	164	
	BC 4,469,483	09/04/84	Becker et al.	604	280	
	BD 4,563,181	01/07/86	Wijayarathna et al.	604	280	
	BE 4,571,240	02/18/86	Samson et al.	604	96	
	BF 4,596,563	06/24/86	Pande	604	264	
	BG 4,636,346	01/13/87	Gold et al.	264	139	
	BH 4,657,024	04/14/87	Coneys	128	658	
	BI 4,665,604	05/19/87	Dubowik	29	415	
	BJ 4,690,175	09/01/87	Ouchi et al.	138	131	
	BK 4,753,765	06/28/88	Pande	264	149	
	BL 4,764,324	08/16/88	Burnham	264	103	
	BM 4,783,647	11/08/88	Wood	340	675	
	BN 4,817,613	04/04/89	Jaraczewski et al.	128	658	
	BO 4,830,805	05/16/89	Kousai et al.	264	209.8	
	BP 4,838,778	06/13/89	Becker et al.	425	133.1	
	BQ 4,838,879	06/13/89	Tanabe et al.	604	280	
	BR 4,842,590	06/27/89	Tanabe et al.	604	282	
	BS 4,858,139	08/15/89	Wirtz	364	473	
	BT 4,863,442	09/05/89	DeMello et al.	604	282	
	BU 4,886,506	12/12/89	Lovgren et al.	604	280	
	BV 4,888,146	12/19/89	Dandeneau	264	171	
	BW 4,898,591	02/06/90	Jang et al.	604	282	
	BX 4,899,787	02/13/90	Ouchi et al.	138	131	
	BY 4,904,431	02/27/90	O'Maleki	264	103	
	BZ 4,919,605	04/24/90	Kousai et al.	425	467	
	CA 4,925,710	05/15/90	Buck et al.	428	34.5	
	CB 4,956,143	09/11/90	McFarlane	264	334	
	CC 4,963,306	10/16/90	Weldon	264	101	
	CD 4,990,143	02/05/91	Sheridan	604	282	
	CE 5,019,057	05/28/91	Truckai	604	282	
	CF 5,037,404	08/06/91	Gold et al.	604	282	
	CG 5,045,072	09/03/91	Castillo et al.	604	280	
	CH 5,057,092	10/15/91	Webster, Jr.	604	282	

OIA
 DEC 10 2001
 PATENT & TRADEMARK OFFICE

RECEIVED
 DEC 11 2001
 MAIL ROOM

Examiner Initial		Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
MD	CI	5,061,257	10/29/91	Martinez et al.	604	282	
	CJ	5,063,018	11/05/91	Fontirroche et al.	264	514	
	CK	5,069,674	12/03/91	Fearnott et al.	604	282	
	CL	5,078,702	01/07/92	Pomeranz	604	280	
	CM	5,088,991	02/18/92	Weldon	604	280	
	CN	5,125,913	06/30/92	Quackenbush	604	264	
	CO	5,128,077	07/07/92	Stevenson et al.	264	40.2	
	CP	5,156,785	10/20/92	Zdrahala	264	108	
	CQ	5,156,857	10/20/92	Wang et al.	425	130	
	CR	5,171,232	12/15/92	Castillo et al.	604	280	
	CS	5,176,660	01/05/93	Truckai	604	282	
	CT	5,201,723	04/13/93	Quinn	604	264	
	CU	5,215,614	06/01/93	Wijkamp et al.	156	153	
	CV	5,221,270	06/22/93	Parker	604	282	
	CW	5,228,453	07/20/93	Sepetka	128	772	
	CX	5,234,416	08/10/93	Macaulay et al.	604	282	
	CY	5,240,537	08/31/93	Bodicky	156	244.13	
	CZ	5,248,305	09/28/93	Zdrahala	604	280	
	DA	5,254,107	10/19/93	Soltesz	604	282	
	DB	5,290,230	03/01/94	Ainsworth et al.	604	96	
	DC	5,300,048	04/05/94	Drewes, Jr. et al.	604	280	
	DD	5,312,356	05/17/94	Engelson et al.	604	164	
	DE	5,318,032	06/07/94	Lonsbury et al.	128	658	
	DF	5,335,410	08/09/94	Burnham	29	452	
	DG	5,370,691	12/06/94	Samson	623	12	
	DH	5,374,245	12/20/94	Mahurkar	604	43	
	DI	5,380,304	01/10/95	Parker	604	282	
	DJ	5,399,164	03/21/95	Snoke et al.	604	95	
	DK	5,403,292	04/04/95	Ju	604	282	
	DL	5,409,644	04/25/95	Martin et al.	264	25	
	DM	5,433,713	07/18/95	Trotta	604	264	
	DN	5,441,489	08/15/95	Utsumi et al.	604	280	
	DO	5,445,624	08/29/95	Jimenez	604	280	
	DP	5,451,209	09/19/95	Ainsworth et al.	604	96	

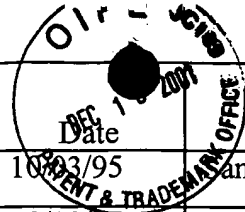


IC 3/00 MAIL ROOM

DEC 11 2001

RECEIVED

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
MD	DQ	5,454,795	10/03/95 Samson	604	282	
	DR	5,456,674	10/10/95 Bos et al.	604	280	
	DS	5,498,377	03/12/96 Ozaki et al.	264	40.1	
	DT	5,499,973	03/19/96 Saab	604	96	
	DU	5,505,887	04/09/96 Zdrahala et al.	264	127	
	DV	5,509,910	04/23/96 Lunn	604	282	
	DW	5,533,985	07/09/96 Wang	604	264	
	DX	5,533,987	07/09/96 Pray et al.	604	280	
	DY	5,538,510	07/23/96 Fontirroche et al.	604	265	
	DZ	5,540,707	07/30/96 Ressemann et al.	606	159	
	EA	5,542,924	08/06/96 Snoke et al.	604	95	
	EB	5,542,937	08/06/96 Chee et al.	604	280	
	EC	5,545,149	08/13/96 Brin et al.	604	265	
	ED	5,582,619	12/10/96 Ken	606	191	
	EE	5,584,821	12/17/96 Hobbs et al.	604	280	
	EF	5,601,538	02/11/97 Deem	604	280	
	EG	5,603,705	02/18/97 Berg	604	282	
	EH	5,622,665	04/22/97 Wang	264	150	
	EI	5,622,665	04/22/97 Wang	264	150	
	EJ	5,624,461	04/29/97 Mariant	606	191	
	EK	5,639,409	06/17/97 van Muiden	264	108	
	EL	5,658,263	08/19/97 Dang et al.	604	280	
	EM	5,674,208	10/07/97 Berg et al.	604	282	
	EN	5,676,659	10/14/97 McGurk	604	282	
	EO	5,690,666	11/25/97 Berenstein et al.	606	191	
	EP	5,695,789	12/09/97 Harris	425	131.1	
	EQ	5,702,373	12/30/97 Samson	604	282	
	ER	5,718,711	02/17/98 Berenstein et al.	606	191	
	ES	5,725,546	03/10/98 Samson	606	191	
	ET	5,725,814	03/10/98 Harris	264	40.3	
	EU	5,730,733	03/24/98 Mortier et al.	604	280	
	EV	5,738,742	04/14/98 Stevens	156	149	
	EW	5,749,891	05/12/98 Ken et al.	606	200	
	EX	5,782,811	07/21/98 Samson et al.	604	282	



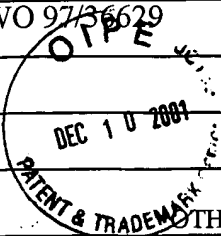
RECEIVED
DEC 11 2001
FBI/DOJ MAIL ROOM

Examiner Initial	Document No.	Date	Name	Class	Sub Class	Filing Date If Appropriate
M/D	EY	5,817,057	10/06/98	Berenstein et al.	604	95
	EZ	5,826,587	10/27/98	Berenstein et al.	128	898
	FA	5,827,201	10/27/98	Samson et al.	600	585
	FB	5,833,652	11/10/98	Preissman et al.	604	82
	FC	5,833,705	11/10/98	Ken et al.	606	191
	FD	5,836,925	11/17/98	Soltesz	604	280
	FE	5,851,477	12/22/98	Halgren et al.	264	512
	FF	5,853,418	12/29/98	Ken et al.	606	191
	FG	5,868,718	02/09/99	Pepin et al.	604	264
	FH	5,891,112	04/06/99	Samson	604	282
	FI	5,891,114	04/06/99	Chien et al.	604	282
	FJ	5,895,391	04/20/99	Farnholtz	606	108
	FK	5,899,892	05/04/99	Mortier et al.	604	280
	FL	5,906,606	05/25/99	Chee et al.	604	527
	FM	5,947,940	09/07/99	Beisel	604	282
	FN	5,951,539	09/14/99	Nita et al.	604	526
	FO	5,968,438	10/19/99	Imaizumi	264	296
	FP	5,971,975	10/26/99	Mills et al.	604	527
	FQ	6,004,310	12/21/99	Bardsley et al.	604	524
	FR	6,004,338	12/21/99	Ken et al.	606	191
	FS	6,090,072	07/18/00	Kratoska et al.	604	164
	FT	6,090,099	07/18/00	Samson et al.	604	527
	FU	6,143,013	11/07/00	Samson et al.	606	192
	FV	6,152,912	11/28/00	Jansen et al.	604	526
	FW	6,159,187	12/12/00	Park et al.	604	264
	FX	6,165,163	12/26/00	Chien et al.	604	523
	FY	6,171,295	01/09/01	Garabedian et al.	604	524
	FZ	6,186,978 B1	02/13/01	Samson et al.	604	96.01
	GA	6,197,014 B1	03/06/01	Samson et al.	604	524
	GB	6,217,566 B1	04/17/01	Ju et al.	604	526

FOREIGN PATENT DOCUMENTS

	Document No.	Date	Country	Class	Sub Class	Translation Yes No
M/D	GC	DE 39 17 523 A1	12/06/90	Germany		Yes

MD		Document No.	Date	Country	Class	Sub Class	Translation	
							Yes	No
	GD	EP 0 417 865 B1	03/20/91	EP				
	GE	EP 0 437 291 B1	07/17/91	EP				
	GF	EP 0 528 181 A1	02/24/93	EP				
	GG	EP 0 618 059 B1	10/05/94	EP				
	GH	WO 97/36629	10/09/97	PCT				



OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
MA	GI	U.S. Patent Application Serial No. 09/430,327, filed October 29, 1999, entitled "Method and Apparatus for Extruding Catheter Tubing" to Centell et al.
MA	GJ	U.S. Patent Application Serial No. 09/839,065, filed April 20, 2001, entitled "Microcatheter with Improved Distal Tip and Transitions", to Ting Tina Ye et al.

EXAMINER:

[Signature]

DATE CONSIDERED:

8/30/02

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

RECEIVED
DEC 11 2001
IC 3700 MAIL ROOM